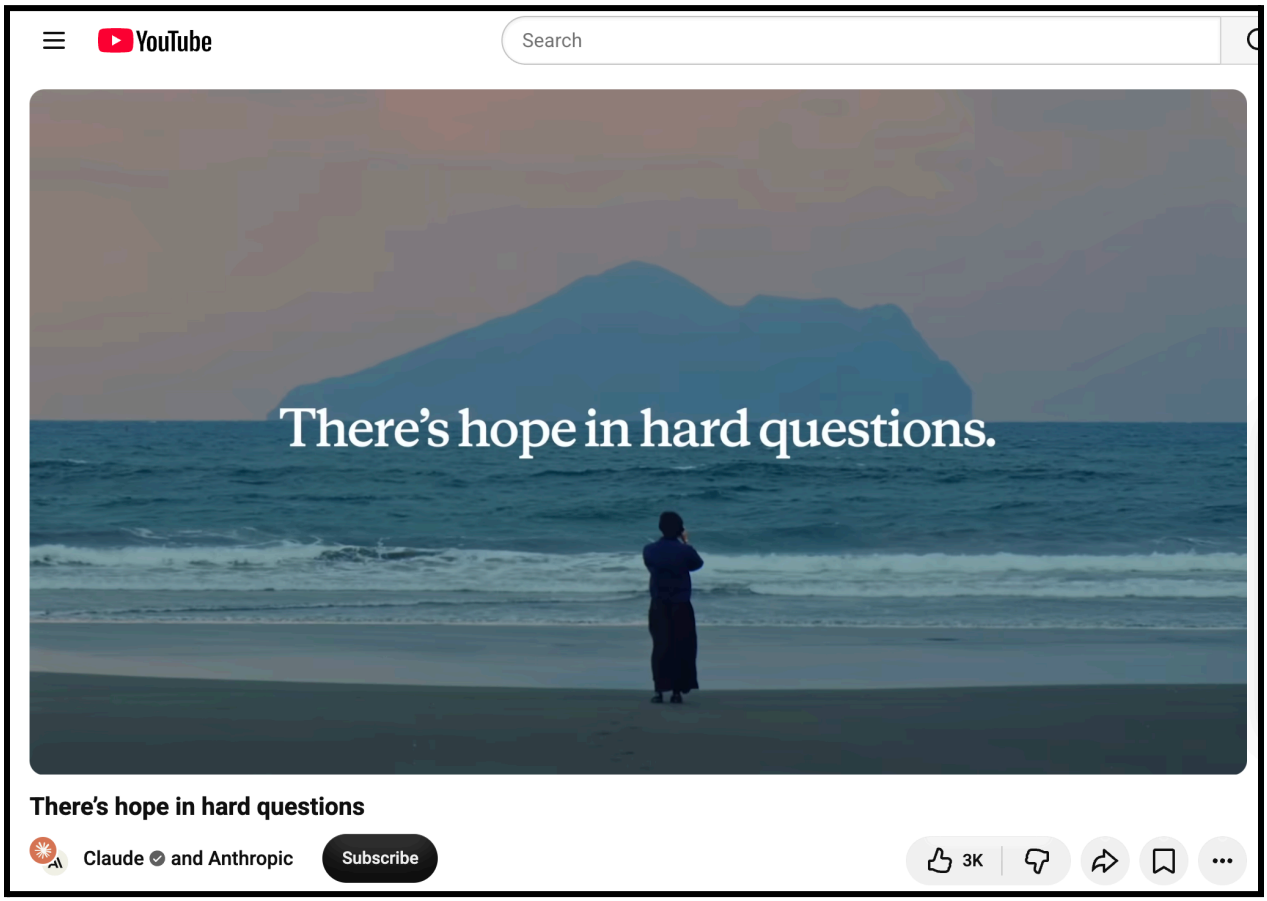
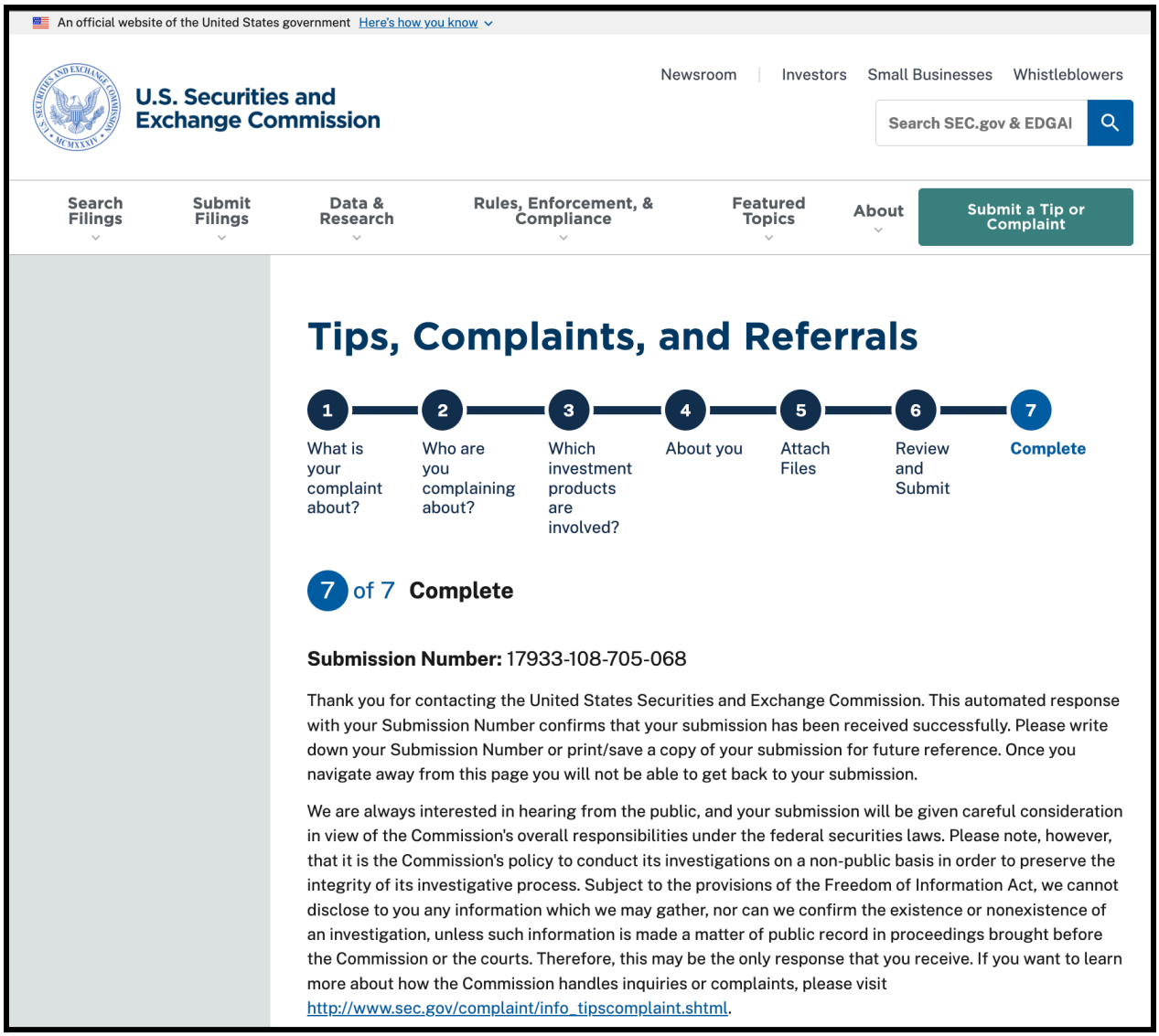
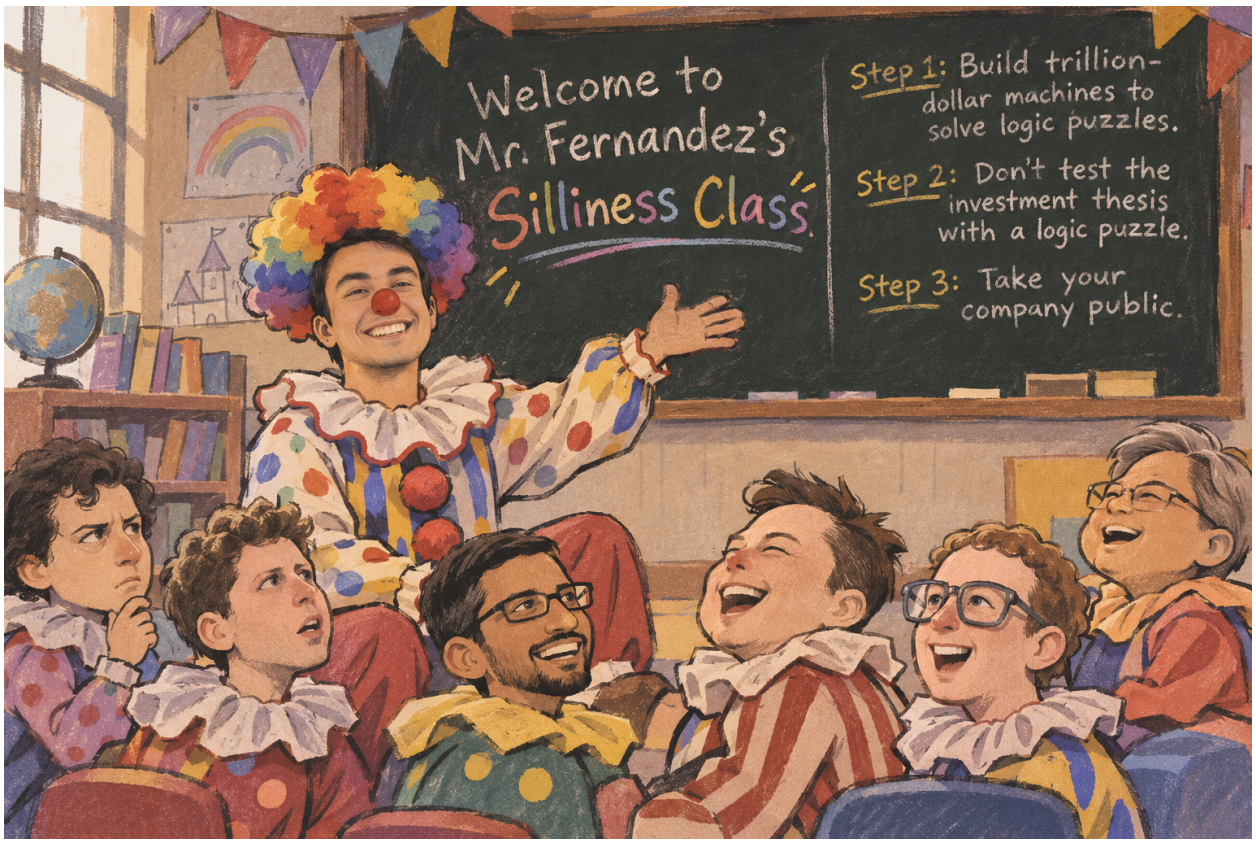

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2 Who are you complaining about?
3 Which investment products are involved?
4 About you
5 Attach Files
6 Review and Submit
7 Complete
7 of 7 Complete
Submission Number: 17933-108-705-068
Thank you for contacting the United States Securities and Exchange Commission. This automated response with your Submission Number confirms that your submission has been received successfully. Please write down your Submission Number or print/save a copy of your submission for future reference. Once you navigate away from this page you will not be able to get back to your submission.
We are always interested in hearing from the public, and your submission will be given careful consideration in view of the Commission's overall responsibilities under the federal securities laws. Please note, however, that it is the Commission's policy to conduct its investigations on a non-public basis in order to preserve the integrity of its investigative process. Subject to the provisions of the Freedom of Information Act, we cannot disclose to you any information which we may gather, nor can we confirm the existence or nonexistence of an investigation, unless such information is made a matter of public record in proceedings brought before the Commission or the courts. Therefore, this may be the only response that you receive. If you want to learn more about how the Commission handles inquiries or complaints, please visit http://www.sec.gov/complaint/info_tipscomplaint.shtml.


Welcome to Mr. Fernandez's Silliness Class
Step 1: Build trillion-dollar machines to solve logic puzzles.
Step 2: Don't test the investment thesis with a logic puzzle.
Step 3: Take your company public.

PDF

Hey Claude! I've just attached your S1 filing with the from yesterday. I'm impressed! It seems like you guys are really committed to social responsibility and broadly-shared prosperity?

4 minutes ago

Reviewing Anthropic's IPO registration statement and its commitments.

Thanks for sharing this! Just to clarify — this is Anthropic's S-1, not mine. I'm Claude, the AI product Anthropic built. But it's a fascinating document to dig into!

On your question about social responsibility and broad-based prosperity, the filing does lay out several notable commitments:

Public Benefit Corporation structure. Anthropic is incorporated as a Delaware PBC, which legally requires the board to balance stockholder interests against broader societal impact — not just maximize returns. That's relatively rare for a company at this scale going public.

The Long-Term Benefit Trust (LTBT). This is perhaps the most unusual element. An independent trust holds a special class of stock giving it the power to elect a majority of the board. The trustees are financially disinterested — they can't hold Anthropic equity — and are selected for expertise in AI safety, national security, and public policy. The idea is to institutionalize the mission even as the company scales.

The Public Benefit Fund. Anthropic contributed 846,840,960 shares of Class N common stock, representing 5% of its fully diluted shares, to the Anthropic Public Benefit Fund, a wholly owned subsidiary dedicated to the Company Purpose. There's also a framework for additional contributions tied to future share price appreciation.

Co-founder pledges. The co-founders have each pledged to dedicate 80% of their personal equity in Anthropic to philanthropic causes.

That said, the risk factors section is quite candid that these commitments can create real tensions — safety-related delays cost revenue, and the PBC structure means decisions may not always maximize short-term shareholder value. Whether the structure holds up under the pressures of being a public company is an open question worth watching.

What aspect were you most interested in exploring?

can you just pull the strongest language from here that Anthropic is dedicated to broadly shared prosperity?

From the filing, here are the strongest passages on broadly shared prosperity and public benefit:

The five mission pillars the board uses to measure c benefit performance include that **"AI expands prosperity and human flourishing"** and that **"AI's benefits are shared by everyone."**

Reply

No history or Claude training.

Sonnet 4.6 Low Manual

Formal Notice to Dario Amodei

Subject:

SEC Whistleblower Complaint #17933-108-705-068 re: Anthropic, PBC, Securities Fraud Investigation

Message:

Hey Dario,

Warm regards. This email is in response to your S-1 Filing with the SEC last Monday.

You're going to get a few emails from me today. I'm copying the SEC, the Nasdaq, all of the underwriters of your IPO, and the CEOs of your major competitors — Sam, Sundar, Elon, and Zuck — on our correspondences, as they are all implicated in my investigation. As you are still a private company, I'll be reaching out to every investor into Anthropic and OpenAI as the objective of this project is protecting investors, as well as protecting the integrity of the institutions underwriting your IPO. Every major newsroom in the United States, Europe, and Asia will be copied on our correspondences as well. In addition, I will be submitting tips to every major newsroom that does not have a publicly listed email address for whistleblowers.

First off, as a computer scientist, I just want to say that I deeply admire the craft of what you are building from a technical perspective. I also admire that what you're building is the best, and that the product stands out among your competitors. I considered whether I wanted to work for you as recently as the beginning of this year. I want to make it clear that this is not personal or ideological. I thought I was done and out of the game years ago — but here we are again. I waited for someone else to step up and no one did. This is a civic duty and a moral obligation.

I'm an independent corporate fraud watchdog. Six months ago I stepped away from building my own company to spend nearly 2000 hours investigating and demonstrating that Anthropic is engaged in securities fraud of the highest order ahead of your IPO. Last week I filed SEC Whistleblower Complaint #17933-108-705-068, as I am now handing off my investigation to the government, the press, and your underwriters to make sure my work is on the record ahead of your exit. This isn't a hobby for the faint of heart. I wouldn't recommend it to most people, but you would be fantastic if you want to give it a shot. Call me the next time you're unemployed.

Please see all of my attachments to this email. You can also see all of them at my website for this investigation, beatingthepath.com. We'll be reaching out to every major institutional investor in the United States, every member of Congress, every major news outlet, and hundreds of individual journalists who cover tech and finance ahead of your roadshow. In reviewing my materials, I highly recommend you begin by watching the video I've sent to the SEC at https://www.youtube.com/watch?v=hZdOWUmZqOM, and also available on my website.

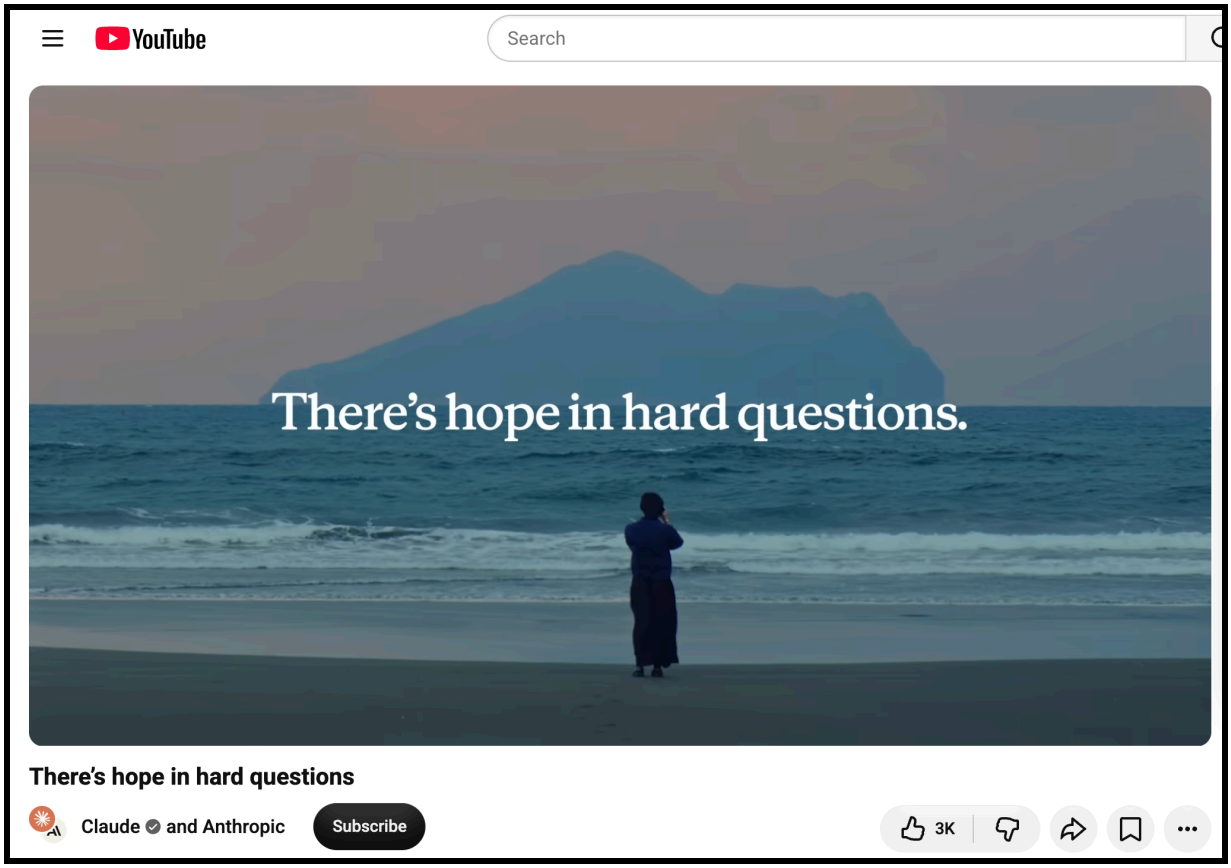
I considered running this campaign anonymously to protect my career options, but I decided that I should be held to the same standard of public accountability that I am holding you to. To be clear, I am not accusing you of anything — I've published a falsifiable proof, and if you are clearly able falsify it and demonstrate that you are not actively defrauding investors ahead of your IPO, I will retract my whistleblower complaint with the SEC, update my conclusions publicly, and I will apologize to you personally. I will go as far as to fly out to Silicon Valley or NYC at my own expense and apologize to you on camera ahead of the offering.

If you are not only able to demonstrate that you are not committing securities fraud but to convince me that Anthropic is a good investment, I'll endorse your IPO for the camera.

Don't think of me as a whistleblower. This is a gift. I'm giving you a wonderful PR opportunity.

I've attached a copy of your S-1 Filing with the SEC last Monday for your reference.

Good luck,
DFW



Investor Protections Email

Subject:

SEC Whistleblower Complaint #17933-108-705-068 re: Anthropic, PBC, Securities Fraud Investigation

Message:

Hey there,

I'm an independent corporate fraud watchdog.

This email is in response to Anthropic's S-1 Filing with the SEC last Monday. I'm contacting you directly because my team flagged you as an investor in [Anthropic/OpenAI], and I'm obligated to notify you of SEC Whistleblower Complaint #17933-108-705-068 against Anthropic, Public Benefit Corporation, as well as against all of the banks underwriting the IPO.

Six months ago I stepped away from building my own company to spend nearly 2000 hours investigating and demonstrating that Anthropic is engaged in securities fraud of the highest order ahead of its IPO. Last week I filed SEC Whistleblower Complaint #17933-108-705-068, as I am now handing off my investigation to the government, the press, and the underwriters to make sure my work is on the record ahead of the exit. I want to make sure investors like yourself in particular have the materials they need to hold the implicated parties accountable.

Please see all of my attachments to this email, including the formal notice I sent this morning to Dario Amodei, as well as the leaders of all five frontier AI labs, the SEC, the Nasdaq, the underwriters of Anthropic's IPO, as well as every major newsroom in the United States, Europe, and Asia. You can also see all of them at my website for this investigation, beatingthepath.com. We'll be reaching out to every major institutional investor in the United States, every member of Congress, every major news outlet, and hundreds of individual journalists who cover tech and finance ahead of the roadshow to protect investors like you. In reviewing my materials, I highly recommend you begin by watching the video I've sent to the SEC at https://www.youtube.com/watch?v=hZdOWUmZqOM, and also available on my website.

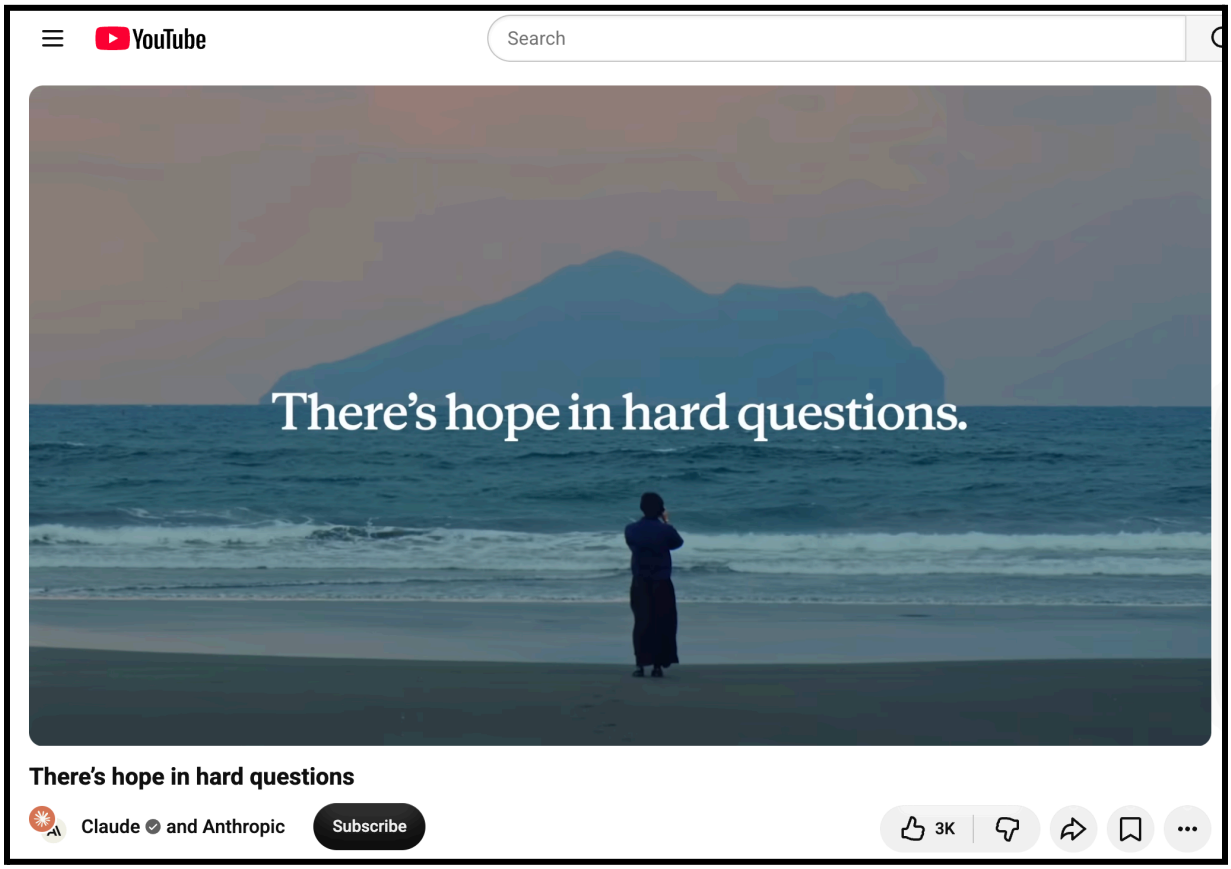
I considered running this campaign anonymously to protect my career options, but I decided that I should be held to the same standard of public accountability that I am holding Dario Amodei to. I am not accusing him of anything — I've published a falsifiable proof, and if he is clearly able falsify it and demonstrate that he is not actively defrauding investors ahead of his IPO, I've committed that I will retract my whistleblower complaint with the SEC, update my conclusions publicly, and I will apologize to him personally. I will go as far as to fly out to Silicon Valley or NYC at my own expense and apologize to him on camera ahead of the offering.

Don’t think of me as a whistleblower — this is a gift. I’m giving Dario Amodei a really wonderful PR opportunity ahead of his big day. I’d love to give the man his flowers. I hear he likes tulips.

It is an honor to protect investors like you from harm, to protect the integrity of institutions from underwriting fraudulent enterprises, and to ensure that you are able to catch fraudsters and hold them accountable.

Goldman Sachs — it is my pleasure as always.

Warm Regards.
DFW



Global Newsroom Tip, Simultaneous Notification

Subject:

Private Investigator, SEC Whistleblower Complaint #17933-108-705-068 re: Anthropic, PBC, Securities Fraud Investigation

Message:

Hi there,

My name is David Fernandez Wang. I'm a private investigator for financial crimes. I waited for years for someone else to expose this, and I finally accepted that no one else was going to step up. Six months ago I stepped away from building my first company to dedicate nearly 2000 hours investigating and demonstrating that Anthropic, PBC may be engaged in securities fraud of the highest order. Last week, I submitted SEC Whistleblower Complaint #17933-108-705-068. Today, I am going public with the results of my investigation ahead of their IPO roadshow to protect investors and the integrity of the institutions underwriting their offering. This morning I've sent formal notices to Dario Amodei, to the CEOs of every company implicated in my investigation, to each of the underwriters to Anthropic's IPO, to the SEC, to the Nasdaq, to each of Anthropic and OpenAI's current investors, and I am simultaneously reaching out to nearly 300 newsrooms across Europe, Asia, and the United States, as well as over 400 individual journalists who cover tech and finance. This week I will also be reaching out to every member of the US Congress following their elections tomorrow, as well as every major institutional investor in the United States. I am sending my comms over multiple channels to avoid spam filters. I recommend that you check your spam folder to see if you missed an earlier message from me. I am simultaneously dropping this message into nearly 100 Newsroom Tip Portals internationally. I consider this project a civic duty and a moral obligation.

I've set up a website that walks through the project at beatingthepath.com.

The first thing that I need to make clear is that I am NOT making accusations or allegations of fraud or misconduct. I am publishing a proof and inviting falsification, and if no one is able to falsify the proof, then by definition they are engaged in securities fraud of the highest order. If your outlet refers to my project with any framing that implies that I am raising a "claim," a "concern," an "accusation," or an "allegation," my team will follow up and request an immediate correction. I will repeat: I am publishing a proof and inviting falsification.

I recommend reviewing materials in this order:

1. The video I recorded for SEC investigators. If the fraud is not obvious following my explanation to investigators, then watch the Kickoff Video as well.
2. For Kids. I wrote a picture book to explain the fraud to five-year-olds, so that no one can say it was too complicated for them to understand.
3. The Fraud, put simply. I explain the fraud on one slide.

4. The First Shot, where I document the exact notices I've sent to Dario Amodei, the underwriters of his IPO, and all of his current investors this morning. I have also published a copy of SEC Whistleblower Complaint #17933-108-705-068 on this page.

I would start with those. The following sections exist for you as journalists should you wish to evaluate my credibility as an investigator:

1. Methodology, specifically the version at beatingthepath.com/allies/methodology/.
2. About the project.
3. The Self-Immolation - this is dramatic flair. I'm a showman. I apologize.
4. Feel free to poke around my LinkedIn. I led an R&D project at CME Group at 19, got headhunted by Google at 19, worked at Microsoft at 21, got headhunted by Meta recruiters a few times, led R&D at a unicorn when I was 23, and I have an algorithm named after me, for starters. Now that I am taking a case public and have accepted that I will never find work in my respectable daytime career again, I have also updated my LinkedIn to mention a previous fraud exposure as well. I apologize, I hate listing accomplishments, but I understand that I am an extreme outlier and that responsible journalism requires that you evaluate my credibility.

The work underlying the project is extremely serious and rigorous, but I have wrapped it in a satirical container. Everything satirical is specifically designed to detonate when people realize how simple and stupid the fraud is. I was inspired by "The Big Short," using satire to make complexity legible to a general audience. The goal is that the public knows who to point and laugh at when the fraud becomes visible and everyone is on trial, rather than needing to wait 7 years for a documentary to come out. The satire is extremely important to the project. One of my policy advisors, however, advised that I create a version of our website stripped of satire for when we are communicating with academics, public intellectuals, policymakers, foreign heads of state, etc. If you would like to evaluate the site that is stripped of satire, check out beatingthepath.com/allies. The website is available in English and in Spanish, although the Spanish version is not entirely up-to-date. If you use AI to evaluate the work for you, I recommend trying a handful of models, as the biases of the specific model will drastically affect how the LLM distorts the work.

If you have questions, feel free to reach out. Be warned, I am reaching out to nearly 1000 people today, so there may be some delay getting back to you. The website is designed to give you everything you should need. You have my permission to publish this statement. You have my permission to clip the videos I've posted as well.

Warm Regards.
DFW

List 1 cc: American Newsrooms Batch 1

To:
dario@anthropic.com
daniela@anthropic.com

From: accountability@btp-fraudalert.com

CC:

1. mark.zuckerberg@meta.com
2. sama@openai.com
3. sarah@openai.com
4. sundar@google.com
5. info@spacex.com
6. investors@spacex.com
7. fraud.prevention.and.investigation@jpmchase.com
8. ethicsconcern@citi.com
9. zack.zacharia@barclays.com
10. abuse@bofa.com
11. report.misconduct@db.com
12. paul.carlesimo@rbccm.com
13. ethics@bmo.com
14. global_compliance_group_alerte_ethique_whistleblowing@bnpparibas.com
15. eppf@ca-cib.com
16. AML_Compliance@Jefferies.com
17. Financialcrimecomplianceadvisory@mizuhogroup.com
18. mufgwhistleblow@nishimura.com
19. bill.gerlesits@sgcib.com
20. BTIGcompliance@btig.com
21. EthicsOffice@capitalone.com
22. compliance-financial@macquarie.com
23. Eric.D.Wang@morganstanley.com
24. dg.ecm_legal@bofa.com
25. dbcapitalmarkets.gcnotices@list.db.com
26. dl.us_clo_struct@us.bnpparibas.com
27. rpowel@jefferies.com
28. legalnotices@mizuhogroup.com
29. Brian.Chin@mufgsecurities.com
30. CIBLegal@tdsecurities.com
31. IBLegal@btig.com
32. legal-IBD@cantor.com
33. jamie.dimon@jpmchase.com
34. gs-investor-relations@gs.com
35. investor-relations@abc.xyz

36. legal@openai.com
37. directors@abc.xyz
38. investor@meta.com
39. investorrelations@morganstanley.com
40. investorrelations@citi.com
41. prospectus@morganstanley.com
42. sli@meta.com
43. corporatesecretary@meta.com
44. cmahoney@meta.com
45. JPMCinvestorrelations@jpmchase.com
46. greg@openai.com
47. btaylor@gmail.com
48. jane.fraser@citigroup.com
49. ted.pick@morganstanley.com
50. investor.relations@nasdaq.com
51. nasdaqnews@nasdaq.com
52. news@sec.gov
53. Ombudsman@sec.gov
54. news.tips@abc.com
55. tips2@bloomberg.net
56. 60m@cbsnews.com
57. investigates@cbsnews.com
58. CNBCtipline@versantmedia.com
59. investigations@versantmedia.com
60. tips@cnn.com
61. cnn.tips@protonmail.com
62. tips@nbcuni.com
63. frontlinetips@wgbh.org
64. tips@reuters.com
65. ajcinvestigations@ajc.com
66. tips@californiapost.com
67. tips@suntimes.com
68. citydesk@houstonchronicle.com
69. tips@latimes.com
70. tips@miamiherald.com
71. whistleblower@startribune.com
72. investigations@newsobserver.com
73. breakingnews@inquirer.com
74. business@sfchronicle.com
75. metrodesk@sfchronicle.com
76. newstip@globe.com
77. lockbox@washpost.com
78. newstips@businessinsider.com
79. tips@calmatters.org

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81. tips@forbes.com
82. HCN.secure@protonmail.com
83. scoops@huffpost.com
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89. tips@spotlightpa.org
90. spotlightpa@protonmail.com
91. tips@techcrunch.com
92. tips@proton.theatlantic.com
93. tips@thedailybeast.com

List 2 cc: American Newsrooms Batch 2

To:
dario@anthropic.com
daniela@anthropic.com

From: accountability@btp-fraudalert.com
CC:

1. mark.zuckerberg@meta.com
2. sama@openai.com
3. sarah@openai.com
4. sundar@google.com
5. info@spacex.com
6. investors@spacex.com
7. fraud.prevention.and.investigation@jpmchase.com
8. ethicsconcern@citi.com
9. zack.zacharia@barclays.com
10. abuse@bofa.com
11. report.misconduct@db.com
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16. AML_Compliance@Jefferies.com
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18. mufgwhistleblow@nishimura.com
19. bill.gerlesits@sgcib.com
20. BTIGcompliance@btig.com

21. EthicsOffice@capitalone.com
22. compliance-financial@macquarie.com
23. Eric.D.Wang@morganstanley.com
24. dg.ecm_legal@bofa.com
25. dbcapitalmarkets.gcnotices@list.db.com
26. dl.us_clo_struct@us.bnpparibas.com
27. rpowel@jefferies.com
28. legalnotices@mizuhogroup.com
29. Brian.Chin@mufgsecurities.com
30. CIBLegal@tdsecurities.com
31. IBLegal@btig.com
32. legal-IBD@cantor.com
33. jamie.dimon@jpmchase.com
34. gs-investor-relations@gs.com
35. investor-relations@abc.xyz
36. legal@openai.com
37. directors@abc.xyz
38. investor@meta.com
39. investorrelations@morganstanley.com
40. investorrelations@citi.com
41. prospectus@morganstanley.com
42. sli@meta.com
43. corporatesecretary@meta.com
44. cmahoney@meta.com
45. JPMCinvestorrelations@jpmchase.com
46. greg@openai.com
47. btaylor@gmail.com
48. jane.fraser@citigroup.com
49. ted.pick@morganstanley.com
50. investor.relations@nasdaq.com
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53. Ombudsman@sec.gov
54. tips@dailycaller.com
55. tips@thefp.com
56. editor@thehill.com
57. tips@theinformation.com
58. tips@theintercept.com
59. tips@themarkup.org
60. tips@newyorker.com
61. tips@texastribune.org
62. tips@theverge.com
63. news@989word.com
64. tips@gothamist.com

65. news@kmbz.com
66. assignmentdesk@kqed.org
67. tips@kuow.org
68. desk@kywnewsradio.com
69. tips@laist.com
70. Investigations@npr.org
71. opbnews@opb.org
72. news@talk1370.com
73. wbbmnewsradiotips@entercom.com
74. news@wbez.org
75. wburnews@wbur.org
76. newsroom@whyy.org
77. news@wrkf.org
78. WUPAInfo@wupatv.com
79. newsdesk@kpix.com
80. wfornews@cbs.com
81. cbsmiami@cbs.com
82. desk@cbs2ny.com
83. newstips@wbztv.com
84. iteam@cbsboston.com
85. cbschicagotips@cbs.com
86. CBSNewsDetroit@Paramount.com
87. tips@cbs.com
88. newsdesk@cbs3.com
89. newsdesk@kdka.com
90. investigators@kdka.com
91. news@kovr.com
92. newstips@kpix.com
93. fox2newsdesk@foxtv.com
94. newstips@kiro7.com

List 3 cc: European Newsrooms

To:
dario@anthropic.com
daniela@anthropic.com

From: accountability@btp-fraudalert.com
CC:

1. mark.zuckerberg@meta.com
2. sama@openai.com
3. sarah@openai.com
4. sundar@google.com
5. info@spacex.com
6. investors@spacex.com
7. fraud.prevention.and.investigation@jpmchase.com
8. ethicsconcern@citi.com
9. zack.zacharia@barclays.com
10. abuse@bofa.com
11. report.misconduct@db.com
12. paul.carlesimo@rbccm.com
13. ethics@bmo.com
14. global_compliance_group_alerte_ethique_whistleblowing@bnpparibas.com
15. eppf@ca-cib.com
16. AML_Compliance@Jefferies.com
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18. mufgwhistleblow@nishimura.com
19. bill.gerlesits@sgcib.com
20. BTIGcompliance@btig.com
21. EthicsOffice@capitalone.com
22. compliance-financial@macquarie.com
23. Eric.D.Wang@morganstanley.com
24. dg.ecm_legal@bofa.com
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27. rpowel@jefferies.com
28. legalnotices@mizuhogroup.com
29. Brian.Chin@mufgsecurities.com
30. CIBLegal@tdsecurities.com
31. IBLegal@btig.com
32. legal-IBD@cantor.com
33. jamie.dimon@jpmchase.com
34. gs-investor-relations@gs.com
35. investor-relations@abc.xyz
36. legal@openai.com

37. directors@abc.xyz
38. investor@meta.com
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40. investorrelations@citi.com
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42. sli@meta.com
43. corporatesecretary@meta.com
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45. JPMCinvestorrelations@jpmchase.com
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47. btaylor@gmail.com
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51. nasdaqnews@nasdaq.com
52. news@sec.gov
53. Ombudsman@sec.gov
54. limchicagonewstips@nbcuni.com
55. newstips@news4jax.com
56. news@wdiv.com
57. Local4investigators@wdiv.com
58. news@wxyz.com
59. news@skynews.com
60. news.plan@sky.uk
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62. investigations.contact@theguardian.com
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64. newsdesk@independent.co.uk
65. tips@reuters.com
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69. tips@thejournal.ie
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86. nieuws@vrt.be
87. tipsaoss@svt.se
88. tipsa@aftonbladet.se
89. 2200@vg.no
90. tips@aftenposten.no
91. tips.aftenposten@protonmail.com
92. yle.uutiset@yle.fi

List 4: cc: Asian Newsrooms

To:
dario@anthropic.com
daniela@anthropic.com

From: accountability@btp-fraudalert.com
CC:

1. mark.zuckerberg@meta.com
2. sama@openai.com
3. sarah@openai.com
4. sundar@google.com
5. info@spacex.com
6. investors@spacex.com
7. fraud.prevention.and.investigation@jpmchase.com
8. ethicsconcern@citi.com
9. zack.zacharia@barclays.com
10. abuse@bofa.com
11. report.misconduct@db.com
12. paul.carlesimo@rbccm.com
13. ethics@bmo.com
14. global_compliance_group_alerte_ethique_whistleblowing@bnpparibas.com
15. eppf@ca-cib.com
16. AML_Compliance@Jefferies.com
17. Financialcrimecomplianceadvisory@mizuhogroup.com
18. mufgwhistleblow@nishimura.com
19. bill.gerlesits@sgcib.com
20. BTIGcompliance@btig.com
21. EthicsOffice@capitalone.com
22. compliance-financial@macquarie.com
23. Eric.D.Wang@morganstanley.com
24. dg.ecm_legal@bofa.com
25. dbcapitalmarkets.gcnotices@list.db.com
26. dl.us_clo_struct@us.bnpparibas.com
27. rpowel@jefferies.com
28. legalnotices@mizuhogroup.com
29. Brian.Chin@mufgsecurities.com
30. CIBLegal@tdsecurities.com
31. IBLegal@btig.com
32. legal-IBD@cantor.com
33. jamie.dimon@jpmchase.com
34. gs-investor-relations@gs.com
35. investor-relations@abc.xyz
36. legal@openai.com

37. directors@abc.xyz
38. investor@meta.com
39. investorrelations@morganstanley.com
40. investorrelations@citi.com
41. prospectus@morganstanley.com
42. sli@meta.com
43. corporatesecretary@meta.com
44. cmahoney@meta.com
45. JPMCinvestorrelations@jpmchase.com
46. greg@openai.com
47. btaylor@gmail.com
48. jane.fraser@citigroup.com
49. ted.pick@morganstanley.com
50. investor.relations@nasdaq.com
51. nasdaqnews@nasdaq.com
52. news@sec.gov
53. Ombudsman@sec.gov
54. editor@globaltimes.com.cn
55. info@globaltimes.com.cn
56. hk01@hk01.com
57. news@odn.on.cc
58. editor@theinitium.com
59. focustaiwan@cna.com.tw
60. jebo@jtbc.co.kr
61. kbs1234@kbs.co.kr
62. mbcjebo@mbc.co.kr
63. sbs8news@sbs.co.kr
64. panda@sbs.co.kr
65. social@ytn.co.kr
66. jebo@yna.co.kr
67. newsroom@phnompenhpost.com
68. newsroom@antaranews.com
69. redaksi@tempo.co.id
70. redaksi@detik.com
71. redaksi@tirto.id
72. editorial@thejakartapost.com
73. editor@vientianetimes.la
74. editor@malaysiakini.com
75. newsdesk@thestar.com.my
76. editor@freemalaysiatoday.com
77. newsroom@verafiles.org
78. editor@asiaone.com
79. digitalnews@mediacorp.com.sg
80. singaporedesk@mediacorp.com.sg

81. stnewsdesk@sph.com.sg
82. newsdesk@vnsmail.com
83. reporting@thedailystar.net
84. editorial@thewire.in
85. editorial@hindustantimes.com
86. myreport@thequint.com
87. newsroom@dawn.com
88. national@tribune.com.pk
89. thenewswebdesk@immcorporate.com
90. bama@iranintl.com
91. ajiunit@proton.me
92. readers@gulfnews.com
93. news@khaleejtimes.com
94. newstips@thenationalnews.com

List 5 cc: Mixed Newsrooms

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From: accountability@btp-fraudalert.com
CC:

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2. sama@openai.com
3. sarah@openai.com
4. sundar@google.com
5. info@spacex.com
6. investors@spacex.com
7. fraud.prevention.and.investigation@jpmchase.com
8. ethicsconcern@citi.com
9. zack.zacharia@barclays.com
10. abuse@bofa.com
11. report.misconduct@db.com
12. paul.carlesimo@rbccm.com
13. ethics@bmo.com
14. global_compliance_group_alerte_ethique_whistleblowing@bnpparibas.com
15. eppf@ca-cib.com
16. AML_Compliance@Jefferies.com
17. Financialcrimecomplianceadvisory@mizuhogroup.com
18. mufgwhistleblow@nishimura.com
19. bill.gerlesits@sgcib.com
20. BTIGcompliance@btig.com
21. EthicsOffice@capitalone.com
22. compliance-financial@macquarie.com
23. Eric.D.Wang@morganstanley.com
24. dg.ecm_legal@bofa.com
25. dbcapitalmarkets.gcnotices@list.db.com
26. dl.us_clo_struct@us.bnpparibas.com
27. rpowel@jefferies.com
28. legalnotices@mizuhogroup.com
29. Brian.Chin@mufgsecurities.com
30. CIBLegal@tdsecurities.com
31. IBLegal@btig.com
32. legal-IBD@cantor.com
33. jamie.dimon@jpmchase.com
34. gs-investor-relations@gs.com
35. investor-relations@abc.xyz
36. legal@openai.com

37. directors@abc.xyz
38. investor@meta.com
39. investorrelations@morganstanley.com
40. investorrelations@citi.com
41. prospectus@morganstanley.com
42. sli@meta.com
43. corporatesecretary@meta.com
44. cmahoney@meta.com
45. JPMCinvestorrelations@jpmchase.com
46. greg@openai.com
47. btaylor@gmail.com
48. jane.fraser@citigroup.com
49. ted.pick@morganstanley.com
50. investor.relations@nasdaq.com
51. nasdaqnews@nasdaq.com
52. news@sec.gov
53. Ombudsman@sec.gov
54. noticias23@televisaunivision.com
55. noticias41ny@televisaunivision.com
56. noticias14@televisaunivision.com
57. noticiasunichicago@televisaunivision.com
58. noticias23dfw@televisaunivision.com
59. univision45@televisaunivision.com
60. noticiasdc@televisaunivision.com
61. kauzy@aktuality.sk
62. ugyelet@telex.hu
63. telexhu@protonmail.com
64. redactia@recorder.ro
65. news@kyivindependent.com
66. editor@hromadske.ua
67. investigation@hromadske.ua
68. uudised@err.ee
69. newsroom@timesofmalta.com
70. editor@birnnetwork.org
71. investigativ@srf.ch
72. kontakt24@tvn.pl
73. newsroom@pap.pl
74. redakcja@oko.press
75. sygnalisci@oko.press
76. info@investigace.cz

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6. client_operations@capgroup.com
7. plaffont@coatue.com
8. hector@d1capital.com
9. limchowkiat@gic.com.sg
10. divesh@iconiqcapital.com
11. manders@iconiqcapital.com
12. lthorne@iconiqcapital.com
13. wgriffith@iconiqcapital.com
14. info@xnlp.com
15. ajassy@amazon.com
16. tim.campbell@baillliegifford.com
17. pressinquiries@blackstone.com
18. fundinfo@deshaw.com
19. adell@generalcatalyst.com
20. privacy@generalcatalyst.com
21. ethics.office@fmr.com
22. dparekh@insightpartners.com
23. jhoring@insightpartners.com
24. isandler@insightpartners.com
25. rmhatre@lsvp.com
26. bsomaia@lsvp.com
27. rgranieri@janestreet.com
28. alidrissi@mubadala.ae
29. vab@nttvc.com
30. leopold@aschenbrenner.co
31. robert_sharps@troweprice.com
32. enquiry@temasek.com.sg
33. dan@nx1cap.com
34. dario@anthropic.com
35. daniela@anthropic.com
36. mark.zuckerberg@meta.com
37. sama@openai.com
38. sarah@openai.com
39. sundar@google.com
40. info@spacex.com

41. investors@spacex.com
42. fraud.prevention.and.investigation@jpmchase.com
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68. jamie.dimon@jpmchase.com
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70. investor-relations@abc.xyz
71. legal@openai.com
72. directors@abc.xyz
73. investor@meta.com
74. investorrelations@morganstanley.com
75. investorrelations@citi.com
76. prospectus@morganstanley.com
77. sli@meta.com
78. corporatesecretary@meta.com
79. cmahoney@meta.com
80. JPMCinvestorrelations@jpmchase.com
81. greg@openai.com
82. btaylor@gmail.com
83. jane.fraser@citigroup.com
84. ted.pick@morganstanley.com

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86. nasdaqnews@nasdaq.com
87. news@sec.gov
88. Ombudsman@sec.gov

List 7

From: Investor Protections, Anthropic Batch 2

To:

1. connor.teskey@brookfield.com
2. yuri@milner.co
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4. jlindfors@dst-global.com
5. rmehta@dst-global.com
6. tstafford@dst-global.com
7. sanjay@micron.com
8. thiel@foundersfund.com
9. lauren@foundersfund.com
10. mphelan@accelentertainment.com
11. malsowaidi@qia.qa
12. satyan@microsoft.com
13. jensen@nvidia.com
14. rgerson@alphawaveglobal.com
15. dtepper@appaloosa-mgmt.com
16. colloton@bvp.com sandy@bvp.com
17. dmakan@iconiqcapital.com
18. manders@iconiqcapital.com
19. wgriffith@iconiqcapital.com
20. larry.fink@blackrock.com
21. gs-investor-relations@gs.com
22. jamie.dimon@jpmchase.com
23. shawn@menlovc.com
24. ted.pick@morganstanley.com
25. fsands@sandscap.com
26. jonathan.bilzin@towerbrook.com
27. karim.saddi@towerbrook.com
28. neal.moszkowski@towerbrook.com
29. jwinkelried@tpg.com
30. alex@whalerockcapital.com
31. gaurav@xnlp.com
32. jo_taylor@otpp.com
33. bford@generalatlantic.com
34. dario@anthropic.com

35. daniela@anthropic.com
36. mark.zuckerberg@meta.com
37. sama@openai.com
38. sarah@openai.com
39. sundar@google.com
40. info@spacex.com
41. investors@spacex.com
42. fraud.prevention.and.investigation@jpmchase.com
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51. AML_Compliance@Jefferies.com
52. Financialcrimecomplianceadvisory@mizuhogroup.com
53. mufgwhistleblow@nishimura.com
54. bill.gerlesits@sgcib.com
55. BTIGcompliance@btig.com
56. EthicsOffice@capitalone.com
57. compliance-financial@macquarie.com
58. Eric.D.Wang@morganstanley.com
59. dg.ecm_legal@bofa.com
60. dbcapitalmarkets.gcnotices@list.db.com
61. dl.us_clo_struct@us.bnpparibas.com
62. rpowel@jefferies.com
63. legalnotices@mizuhogroup.com
64. Brian.Chin@mufgsecurities.com
65. CIBLegal@tdsecurities.com
66. IBLegal@btig.com
67. legal-IBD@cantor.com
68. jamie.dimon@jpmchase.com
69. gs-investor-relations@gs.com
70. investor-relations@abc.xyz
71. legal@openai.com
72. directors@abc.xyz
73. investor@meta.com
74. investorrelations@morganstanley.com
75. investorrelations@citi.com
76. prospectus@morganstanley.com
77. sli@meta.com
78. corporatesecretary@meta.com

79. cmahoney@meta.com
80. JPMCinvestorrelations@jpmchase.com
81. greg@openai.com
82. btaylor@gmail.com
83. jane.fraser@citigroup.com
84. ted.pick@morganstanley.com
85. investor.relations@nasdaq.com
86. nasdaqnews@nasdaq.com
87. news@sec.gov
88. Ombudsman@sec.gov

List 8

1. pblack@wcminvest.com
2. mtrigg@wcminvest.com
3. dsze@greylock.com
4. achandna@greylock.com
5. reid@greylock.com
6. smotamedi@greylock.com
7. jchen@greylock.com
8. schoi@naver.com
9. arvind.purushotham@citi.com
10. cs.venkatakrishnan@barclays.com
11. david.mckay@rbc.com
12. junichi.hanzawa@mufg.jp
13. crobbins@cisco.com
14. sundar@google.com
15. hussain@damacgroup.com
16. jsomorjai@salesforce.com
17. camon@qualcomm.com
18. sasan_goodarzi@intuit.com
19. president@sdaia.gov.sa
20. jaejoon.lee@skta.com
21. henrik@buckhillcapital.com
22. nino@sapphireventures.com
23. eric@zoom.us
24. r.ramarao@sparkcapital.in
25. helhaddad@hofcapital.com
26. jim.mcclave@mcclaveandassociates.com
27. jaan@metaplanet.com
28. eschmidt@relativityspace.com
29. moskov@goodventures.org
30. sundar@google.com
31. ron@svangel.com

32. contact.meysam@gmail.com
33. jason@pioneerfund.vc
34. effie@sound-ventures.com
35. giammaria.giuliani@giulianipharma.com
36. dario@anthropic.com
37. daniela@anthropic.com
38. mark.zuckerberg@meta.com
39. sama@openai.com
40. sarah@openai.com
41. sundar@google.com
42. info@spacex.com
43. investors@spacex.com
44. fraud.prevention.and.investigation@jpmchase.com
45. ethicsconcern@citi.com
46. zack.zacharia@barclays.com
47. abuse@bofa.com
48. report.misconduct@db.com
49. paul.carlesimo@rbccm.com
50. ethics@bmo.com
51. global_compliance_group_alerte_ethique_whistleblowing@bnpparibas.com
52. eppf@ca-cib.com
53. AML_Compliance@Jefferies.com
54. Financialcrimecomplianceadvisory@mizuhogroup.com
55. mufgwhistleblow@nishimura.com
56. bill.gerlesits@sgcib.com
57. BTIGcompliance@btig.com
58. EthicsOffice@capitalone.com
59. compliance-financial@macquarie.com
60. Eric.D.Wang@morganstanley.com
61. dg.ecm_legal@bofa.com
62. dbcapitalmarkets.gcnotices@list.db.com
63. dl.us_clo_struct@us.bnpparibas.com
64. rpowel@jefferies.com
65. legalnotices@mizuhogroup.com
66. Brian.Chin@mufgsecurities.com
67. CIBLegal@tdsecurities.com
68. IBLegal@btig.com
69. legal-IBD@cantor.com
70. jamie.dimon@jpmchase.com
71. gs-investor-relations@gs.com
72. investor-relations@abc.xyz
73. legal@openai.com
74. directors@abc.xyz
75. investor@meta.com

76. investorrelations@morganstanley.com
77. investorrelations@citi.com
78. prospectus@morganstanley.com
79. sli@meta.com
80. corporatesecretary@meta.com
81. cmahoney@meta.com
82. JPMCinvestorrelations@jpmchase.com
83. greg@openai.com
84. btaylor@gmail.com
85. jane.fraser@citigroup.com
86. ted.pick@morganstanley.com
87. investor.relations@nasdaq.com
88. nasdaqnews@nasdaq.com
89. news@sec.gov
90. Ombudsman@sec.gov

List 9

From: Investor Protections, OpenAI Batch 1

To:

1. ir@robinhood.com
2. ir@softbank.co.jp
3. ajassy@amazon.com
4. ajassy@amazon.com
5. jensen@nvidia.com
6. satyan@microsoft.com
7. brad@altimetercapital.com
8. dtepper@appaloosa-mgmt.com
9. info@ark-invest.com
10. grady@sequoia.com
11. early-al@sequoiacap.com
12. info@thrivecap.com
13. hr@a16z.com
14. fundinfo@deshaw.com
15. alidrissi@mubadala.ae
16. jwinkelried@tpg.com
17. larry.fink@blackrock.com
18. BlackstoneShareholderRelations@Blackstone.com
19. plaffont@coatue.com
20. hector@d1capital.com
21. marc@dragoneer.com
22. ethics.office@fmr.com
23. Rob@goannacapital.com

24. info@goannacapital.com
25. dparekh@insightpartners.com
26. jhoring@insightpartners.com
27. isandler@insightpartners.com
28. fsands@sandscap.com
29. effie@sound-ventures.com
30. enquiry@temasek.com.sg
31. CIO.Regents@UCOP.edu
32. clientservice@winscap.com
33. marketing@winscap.com
34. dario@anthropic.com
35. daniela@anthropic.com
36. mark.zuckerberg@meta.com
37. sama@openai.com
38. sarah@openai.com
39. sundar@google.com
40. info@spacex.com
41. investors@spacex.com
42. fraud.prevention.and.investigation@jpmchase.com
43. ethicsconcern@citi.com
44. zack.zacharia@barclays.com
45. abuse@bofa.com
46. report.misconduct@db.com
47. paul.carlesimo@rbccm.com
48. ethics@bmo.com
49. global_compliance_group_alerte_ethique_whistleblowing@bnpparibas.com
50. eppf@ca-cib.com
51. AML_Compliance@Jefferies.com
52. Financialcrimecomplianceadvisory@mizuhogroup.com
53. mufgwhistleblow@nishimura.com
54. bill.gerlesits@sgcib.com
55. BTIGcompliance@btig.com
56. EthicsOffice@capitalone.com
57. compliance-financial@macquarie.com
58. Eric.D.Wang@morganstanley.com
59. dg.ecm_legal@bofa.com
60. dbcapitalmarkets.gcnotices@list.db.com
61. dl.us_clo_struct@us.bnpparibas.com
62. rpowel@jefferies.com
63. legalnotices@mizuhogroup.com
64. Brian.Chin@mufgsecurities.com
65. CIBLegal@tdsecurities.com
66. IBLegal@btig.com
67. legal-IBD@cantor.com

68. jamie.dimon@jpmchase.com
69. gs-investor-relations@gs.com
70. investor-relations@abc.xyz
71. legal@openai.com
72. directors@abc.xyz
73. investor@meta.com
74. investorrelations@morganstanley.com
75. investorrelations@citi.com
76. prospectus@morganstanley.com
77. sli@meta.com
78. corporatesecretary@meta.com
79. cmahoney@meta.com
80. JPMCinvestorrelations@jpmchase.com
81. greg@openai.com
82. btaylor@gmail.com
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84. ted.pick@morganstanley.com
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List 10

From: Investor Protections, OpenAI Batch 2

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2. robert_sharps@troweprice.com
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4. lauren@foundersfund.com
5. ir@tigerglobal.com
6. media@tigerglobal.com
7. BoardCommunications@wellsfargo.com
8. sergio.ermotti@ubs.com
9. generalenquiries@my.smbc.co.jp
10. investor@gruposantander.com
11. ted.pick@morganstanley.com
12. jamie.dimon@jpmchase.com
13. AskResearch@hsbc.com
14. gs-investor-relations@gs.com
15. gs-copyrights@gs.com
16. prospectus-ny@ny.email.gs.com

17. gs-uspress@gs.com
18. gs-privacy@gs.com
19. arvind.purushotham@citi.com
20. media@khoslaventures.com
21. kv@khoslaventures.com
22. hello@flatcapital.com
23. bolagsstamma@flatcapital.com
24. info@k2global.co
25. info@ycombinator.com
26. peter@foundersfund.com
27. reid@thealliancefframework.com
28. rhoffman@greylock.com
29. info@ycombinator.com
30. askus@infosys.com
31. jayesh.sanghrajka@infosys.com
32. info@ycombinator.com
33. press@ycombinator.com
34. dario@anthropic.com
35. daniela@anthropic.com
36. mark.zuckerberg@meta.com
37. sama@openai.com
38. sarah@openai.com
39. sundar@google.com
40. info@spacex.com
41. investors@spacex.com
42. fraud.prevention.and.investigation@jpmchase.com
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65. CIBLegal@tdsecurities.com
66. IBLegal@btig.com
67. legal-IBD@cantor.com
68. jamie.dimon@jpmchase.com
69. gs-investor-relations@gs.com
70. investor-relations@abc.xyz
71. legal@openai.com
72. directors@abc.xyz
73. investor@meta.com
74. investorrelations@morganstanley.com
75. investorrelations@citi.com
76. prospectus@morganstanley.com
77. sli@meta.com
78. corporatesecretary@meta.com
79. cmahoney@meta.com
80. JPMCinvestorrelations@jpmchase.com
81. greg@openai.com
82. btaylor@gmail.com
83. jane.fraser@citigroup.com
84. ted.pick@morganstanley.com
85. investor.relations@nasdaq.com
86. nasdaqnews@nasdaq.com
87. news@sec.gov
88. Ombudsman@sec.gov

U.S. Securities and Exchange Commission

Tips, Complaints, and Referrals

Summary Page - Before Submission

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The Complaint Form questions that you responded to, the answers you entered for those questions, and any documents that you have uploaded to this TCR are listed below.

What is your complaint about?

Please select the option that best describes your complaint.
Material misstatement or omission in a company's public filings or financial statements, or a failure to file

Please select the specific category that best describes your complaint.
False/misleading offering documents

Is this supplemental information to a previous complaint?
No

In your own words, describe the conduct or situation you are complaining about.
For the last four years, trillions of dollars have been raised to build Artificial Intelligence, a technology that reasons. A technology that is built to solve logic puzzles.

Individual firms have raised hundreds of billions of dollars from investors on the basis that the investment into their machines that solve logic puzzles would bring broadly-shared prosperity.

In four years, no one built a falsifiable logic puzzle for them to solve and demonstrate that the investment thesis was sound.

Sit with that for a moment.

We raised trillions of dollars to build hammers.
We warned everyone about the hammer-pocalypse.
We screamed “WHAT IF CHINA BUILDS BETTER HAMMERS.”
We took the money from everyone’s retirement accounts for hammers.
We promised society that investing in hammers would bring broadly-shared prosperity.
We never tested the investment into the hammers with a nail.

We raised trillions of dollars to build machines that solve logic puzzles.
We warned everyone about the "machines that solve logic puzzles"-pocalypse.
We screamed “WHAT IF CHINA BUILDS BETTER MACHINES THAT SOLVE LOGIC PUZZLES.”
We took the money from everyone’s retirement accounts for machines that solve logic puzzles.
We promised society that investing in machines that solve logic puzzles would bring broadly-shared prosperity.
We never tested the investment into the machines that solve logic puzzles with a logic puzzle.

These firms, the American Frontier AI Labs, are Anthropic, OpenAI, Alphabet, xAI, and Meta.
These firms are led by Dario Amodei, Sam Altman, Sundar Pichai, Elon Musk, and Mark Zuckerberg, respectively.

Anthropic has an IPO next month, targeting a multi-trillion dollar valuation.

They released their S-1 to the public earlier this week.

As a concerned citizen, I have waited for years for someone to step up and do the obvious thing.
I finally ran out of patience, and out of concern for the public, I am doing it myself.

I built a logic puzzle for them to solve with their trillion-dollar machines that solve logic puzzles. I designed the logic puzzle such that if they are unable to solve it, then by definition, they are openly defrauding their investors.

If the firms are able to solve the logic puzzle with their frontier models, it should take them about an hour.

Are you having or have you had difficulty getting access to your funds or securities?
No

Did you suffer a loss?
No

When did you become aware of the conduct? (mm/dd/yyyy)
04/10/2025

When did the conduct begin? (mm/dd/yyyy)
11/30/2022

Is the conduct ongoing?
Yes

Has the individual or firm acknowledged the conduct?
No

How did you learn about the conduct? You may select more than one answer.
Publicly available information, SEC filings

Provide details on any of the above actions you have taken regarding your complaint.
In addition to pushing for accountability through government channels and the SEC, I am pursuing accountability in public.

I have created a website, beatingthepath.com, where I have published a falsifiable logical proof that tests the investment thesis and whether these firms are actively defrauding their investors.

My work is satirical because the situation is farcical: in four years no one built a logic puzzle to test the trillion-dollar investment thesis into machines that solve logic puzzles.

I am issuing a public contest with a $10,000 falsification bounty.
I am inviting all of Silicon Valley, all of Wall St, and the entire general public to participate in the contest.

I've written a picture book for children that explains the fraud at a kindergarten level.
I am recommending that the general public print and read it aloud to their financial advisors ahead of Anthropic's IPO.
I'm aiming to get an official copy of the children's book into bookstores in mid-to-late 2027.

You can read the methodology at beatingthepath.com/methodology.
You can read the paper at beatingthepath.com/paper.
You can read the submission rules to qualify for the $10,000 falsification bounty at beatingthepath.com/rules.
You can read the children’s version at beatingthepath.com/seriousfinancialprofessionals.

I am making sure that the SEC is on the record having received this complaint ahead of Anthropic's IPO.
I am making sure that the AI Lab CEOs, the Nasdaq, the underwriting banks, and every single listed investor in Anthropic and OpenAI are on the record receiving this complaint ahead of Anthropic's IPO.
I am making sure that every major newsroom in America is on the record having received this complaint ahead of Anthropic's IPO. I will also be reaching out to hundreds of individual journalists who cover tech and finance.

I am not aiming to stop the Anthropic IPO. I am giving Anthropic a logic puzzle and asking them to solve it.

If they are able to solve it, I will pay out my falsification bounty, I will publicly update my conclusions, and I will personally apologize to Dario Amodei. I am getting everyone's attention and giving Anthropic a wonderful PR opportunity ahead of their big day.

Who are you complaining about?

Person or Firm 1

Are you complaining about a person or a firm?
Person

Select the title that best describes the person the complaint is about.
Executive officer or director

Where is the person that you are complaining about employed?
Anthropic

First Name
Dario

Last Name
Amodei

Person or Firm 2

Are you complaining about a person or a firm?
Person

First Name
Sam

Last Name
Altman

Person or Firm 3

Are you complaining about a person or a firm?
Person

First Name
Elon

Last Name
Musk

Person or Firm 4

Are you complaining about a person or a firm?
Person

First Name
Sundar

Last Name
Pichai

Person or Firm 5

Are you complaining about a person or a firm?
Person

First Name
Mark

Last Name
Zuckerberg

Person or Firm 6

Are you complaining about a person or a firm?
Firm

Firm Name
Anthropic

Person or Firm 7

Are you complaining about a person or a firm?
Firm

Firm Name
OpenAI

Person or Firm 8

Are you complaining about a person or a firm?
Firm

Firm Name
xAI

Person or Firm 9

Are you complaining about a person or a firm?
Firm

Firm Name
Alphabet

Person or Firm 10

Are you complaining about a person or a firm?
Firm

Firm Name
Meta

Person or Firm 11

Are you complaining about a person or a firm?
Firm

Firm Name
Goldman Sachs & Co. LLC

Person or Firm 12

Are you complaining about a person or a firm?
Firm

Firm Name
Morgan Stanley

Person or Firm 13

Are you complaining about a person or a firm?
Firm

Firm Name
J.P. Morgan

Person or Firm 14

Are you complaining about a person or a firm?
Firm

Firm Name
Citigroup

Person or Firm 15

Are you complaining about a person or a firm?
Firm

Firm Name
Barclays

Person or Firm 16

Are you complaining about a person or a firm?
Firm

Firm Name
Wells Fargo Securities

Person or Firm 17

Are you complaining about a person or a firm?
Firm

Firm Name
BofA Securities

Person or Firm 18

Are you complaining about a person or a firm?
Firm

Firm Name
Deutsche Bank Securities

Person or Firm 19

Are you complaining about a person or a firm?
Firm

Firm Name
RBC Capital Markets

Person or Firm 20

Are you complaining about a person or a firm?
Firm

Firm Name
UBS Investment Bank

Person or Firm 21

Are you complaining about a person or a firm?
Firm

Firm Name
BMO Capital Markets

Person or Firm 22

Are you complaining about a person or a firm?
Firm

Firm Name
BNP PARIBAS

Person or Firm 23

Are you complaining about a person or a firm?
Firm

Firm Name
Credit Agricole CIB

Person or Firm 24

Are you complaining about a person or a firm?
Firm

Firm Name
Jefferies

Person or Firm 25

Are you complaining about a person or a firm?
Firm

Firm Name
Mizuho

Person or Firm 26

Are you complaining about a person or a firm?
Firm

Firm Name
MUFG

Person or Firm 27

Are you complaining about a person or a firm?
Firm

Firm Name
Societe Generale

Person or Firm 28

Are you complaining about a person or a firm?
Firm

Firm Name
TD Securities

Person or Firm 29

Are you complaining about a person or a firm?
Firm

Firm Name
BTIG

Person or Firm 30

Are you complaining about a person or a firm?
Firm

Firm Name
Cantor

Which investment products are involved?

Select the type of product involved in your complaint.
Equities (e.g., common stock, preferred stock)

About you

Are you filing this tip under the SEC's whistleblower program?
Yes

Are you an attorney filling out this form on behalf of an anonymous whistleblower client who is seeking an award?
No

Title
Mr

First Name
David

Last Name
Fernandez Wang

Mobile Telephone
2222222222

Email Address
placeholderforscreenshot@gmail.com

What is the best way to reach you?
Email

Are you represented by an attorney in connection with this matter, or would you like to provide your attorney's contact information?
No

Select the profession that best represents you.
Other

For Other, please specify.
It's complicated. I am a generalist. I am a computer scientist, a product manager, an engineer, and an entrepreneur. I've worked in sales. I guess I'm just a writer now, because I will never be able to work in my field again following my publication of this complaint. I might go back to building my tech company, but I just made things very complicated for any VC to ever fund me.

I've always wanted to try waiting tables. It's a lifelong dream of mine to work at a family Mexican restaurant. I think I would enjoy driving a school bus. I may go back to working children's birthday parties as a clown.

We'll see.

Have you reported the matter at issue in this submission to your supervisor, compliance office, whistleblower hotline, ombudsman, or any other available mechanism for reporting possible violations at any entity you are complaining about?
No

Were you retaliated against for reporting the matter at issue in this submission either internally at the entity or to a regulator?
No

Has anyone taken steps to prevent you from reporting this violation to the SEC?
No

Are documents or other information being submitted that could potentially identify the whistleblower?
Yes

Identify with particularity any documents or other information in your submission that you believe could reasonably be expected to reveal your identity.
My identity is transparent throughout all the documentation. That is intentional. It is important for everyone to see and to know how obvious the fraud was, that literally anyone with an undergraduate STEM degree and some market fluency has the technical capability to throw this together in a few months, and that anyone could have written a children's book about it at a Kindergarten level.

Every underwriting firm and AI lab that I am reporting theoretically employs hundreds or thousands of people with the technical ability to perform the same analysis that I did, and either chose not to, buried it, or just never thought to pose the investment thesis to frontier AI as a reasoning problem and have the frontier models solve it for them. Building a logic puzzle to test the investment thesis into machines that solve logic puzzles was not very challenging. My name needs to be on this so everyone understands that the clown did serious work, while the serious people did clown work.

Additionally, I am holding my peers in my industry to an extremely high standard of public accountability, in particular Dario Amodei ahead of his IPO. I considered remaining anonymous, but my identity needs to be transparent so that if I am wrong, I can publicly update my conclusions, pay out the falsification bounty, retract my whistleblower complaint, and apologize to Dario Amodei personally for disrupting his IPO.

I will go as far as to fly out to Silicon Valley or NYC at my own expense and apologize to him on camera ahead of the offering if he requests it. If I am wrong, I am willing to be personally, professionally, and financially humiliated for the rest of my life to put my name on the line publicly, given what is at stake for the American people.

Does the whistleblower want to be eligible to apply for a whistleblower award?
Yes

1. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer or employee of the Department of Justice; the Securities and Exchange Commission; the Comptroller of the Currency; the Board of Governors of the Federal Reserve System; the Federal Deposit Insurance Corporation; the Office of Thrift Supervision; the Public Company Accounting Oversight Board; any law enforcement organization; or any national securities exchange, registered securities association, registered clearing agency, or the Municipal Securities Rulemaking Board?
No

2. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer, or employee of a foreign government, any political subdivision, department, agency, or instrumentality of a foreign government, or any other foreign financial regulatory authority as that term is defined in Section 3(a)(52) of the Securities Exchange Act of 1934 (15 U.S.C. Section §78c(a)(52))?
No

3. Did you acquire the information being submitted to us through the performance of an engagement required under the federal securities laws by an independent public accountant?
No

4. Are you providing this information pursuant to a cooperation agreement with the SEC or another agency or organization?
No

5. Are you a spouse, parent, child, or sibling of a member or employee of the SEC, or do you reside in the same household as a member or employee of the SEC?
No

6. Have you or anyone representing you received any request, inquiry or demand that relates to the subject matter of your submission (i) from the SEC; (ii) in connection with an investigation, inspection or examination by the Public Company Accounting Oversight Board, or any self-regulatory organization; or (iii) in connection with an investigation by Congress, any other authority of the federal government, or a state Attorney General or securities regulatory authority?
No

7. Are you currently a subject or target of a criminal investigation, or have you been convicted of a criminal violation, in connection with the information you are submitting to the SEC?
No

8. Did you acquire the information being provided to us from any person described in Questions 1 through 7?
No

I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information, and belief. I fully understand that I may be subject to prosecution and ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a related action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.

Agree

Attach Files

Upload Document(s)

- *A Losing Bet Regardless of Outcome.pdf* (9.24 MB)
- *Competition Rules.pdf* (108.64 KB)
- *Methodology.pdf* (875.5 KB)
- *Touch My Rock, King Midas.pdf* (5.93 MB)
- *David vs Goliath.pdf* (389.69 KB)